



भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

MARKET INTERMEDIARIES REGULATION AND SUPERVISION DEPARTMENT

MIRSD/AS/OW/10618/1/2018
April 3, 2018

The Managing Director
National Stock Exchange of India Limited,
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai-400051

Dear Sir,

Sub: Grant of Registration Certificate to Karvy Stock Broking Limited under single registration.

Please find the enclosed registration certificate issued to **Karvy Stock Broking Limited** under single registration. The details of the stock broker's certificate are as follows:

Former Name / Address/ Certificate No. of the Broker(NSE)	Former Name / Address/ Certificate No. of the Broker (BSE)	Former Name / Address/ Certificate No. of the Broker(MSEI)
Address: 8-2-609, Road no.11, Banjara Hills, Hyderabad, Telangana – 500034. SEBI Reg. No.INB230770138 Certificate No. 008090 SEBI Reg. No. INF230770138 Certificate No. 0000702 SEBI Reg. No. INE230770138 Certificate No. 0001029	Address: 8-2-609, Road no.11, Banjara Hills, Hyderabad, Telangana – 500034. SEBI Reg. No.INB010770130 Certificate No. 013646 SEBI Reg. No.INF010770131 Certificate No. 0002671	Address: 8-2-609, Road no.11, Banjara Hills, Hyderabad, Telangana – 500034. SEBI Reg. No. INB260770137 Certificate No. 0017780 SEBI Reg. No.INF260770137 Certificate No. 0004956 SEBI Reg. No. INE260770138 Certificate No. 0000740 SEBI Reg. No. INE260770138 Certificate No. 0000739
Present Name/Address/ Certificate No. of the Broker		
SEBI Regn. No.INZ000172733 New Certificate No.0000712 Karvy Stock Broking Limited		

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in



अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

Address: 8-2-609, Road no.11, Banjara Hills, Hyderabad, Telangana – 500034.

You are hereby requested to communicate the following paragraphs to **Karvy Stock Broking Limited** while forwarding the said registration certificate to it.

"Karvy Stock Broking Limited shall ensure to register on FINnet Gateway of FIU-IND."

"Pursuant to issuance of a certificate of registration afresh consequent on change in the registration number of the broker from **INB/F/E230770138, INB/010770130, and INF/010770131, INB/F260770137 & INE260770138 to INZ000172733** the certificate of registration of all the sub-brokers affiliated to the broker, contract notes and other documents issued, the agreements executed with the sub-brokers and clients etc, under the old registration number prior to issuance of this certificate vide this letter, shall continue to be valid as if issued for/by/executed by the broker under its new registration number."

"The broker should intimate to all its sub-brokers, clients etc about the change in registration number and a copy of this letter should be provided to them."

"The broker shall also inform all its sub-brokers that consequent to change in the broker's registration number, the sub-brokers need not submit their certificate of registration to SEBI for any change/modification in their certificates."

Yours faithfully,

Ajaya K Sethi
Manager



अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

Encl.: As above

**CC: The Managing Director/ CEO
Bombay Stock Exchange Limited
Floor 25, P.J.Towers
Dalal Street,
Mumbai -400001**

**CC.: The Managing Director
Metropolitan Stock Exchange of India Limited
4th Floor, Vibgyor Towers, Plot No. C-62
G Block, Opp Trident Hotel, Bandra Kurla Complex
Bandra East, Mumbai – 400 098**

प्ररूप घ
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भारतीय प्रतिभूति और विनियम बोर्ड
SECURITIES AND EXCHANGE BOARD OF INDIA

(स्टाक दलाल और उप-दलाल) विनियम, 1992
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992

0000 7 1 2

(विनियम 6 तथा 10ब / Regulations 6 and 10B)

रजिस्ट्रीकरण प्रमाणपत्र
CERTIFICATE OF REGISTRATION

बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 के अधीन बनाये गये नियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1), द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए

In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992, read with the rules and regulations made thereunder, the Board hereby grants a certificate of registration to

KARVY STOCK BROKING LTD
Trade Name: KARVY STOCK BROKING LTD
8-2-609, ROAD NO 11,
BANJARA HILLS
HYDERABAD
TELANGANA
500034

को प्रतिभूतियों में क्रय, विक्रय या व्यौहार / व्यापारों के समाशोधन तथा निपटान के क्रियाकलाप करने के लिए और ऐसे अन्य क्रियाकलाप जो स्टॉक एक्सचेंज (एक्सचेंजों) / समाशोधन निगम (निगमों) द्वारा अनुज्ञात हों करने के लिए, बोर्ड द्वारा, समय-समय पर, उसके लिए विनिर्दिष्ट शर्तों के अधीन, स्टॉक दलाल / सांपत्तिक व्यापारिक सदस्य / समाशोधन सदस्य के रूप में रजिस्ट्रीकरण प्रमाणपत्र एतद्वारा प्रदान करता है।

as a

Stock Broker

stock broker / proprietary trading member / clearing member for carrying on the activities of buying, selling or dealing in securities / clearing and settlement of trades and for carrying on such other activities as are permitted by stock exchange(s)/ clearing corporation(s), subject to the conditions specified therefor, from time to time, by the Board.

आबंटित रजिस्ट्रीकरण संख्या निम्नानुसार है / Registration number allotted is as under: INZ000172733

यह प्रमाणपत्र तब तक विधिमान्य रहेगा जब तक यह विनियमों के अनुसार निलंबित या रद्द नहीं हो जाता।

This certificate shall be valid till it is suspended or cancelled in accordance with the Regulations.



आदेश द्वारा / By order
भारतीय प्रतिभूति और विनियम बोर्ड के लिए और की ओर से
For and on behalf of Securities and Exchange Board of India

Abudhe

ANUPMA CHADHA

प्राधिकृत हस्ताक्षरकर्ता Authorised Signatory

तारीख / Date : March 28, 2018