

Annexure C

Revised off-market transfer's reason codes applicable with effect from September 14, 2019 with track changes mode:

Reasons/purpose of the transfer of securities between BO accounts held in NSDL system.		Reasons/purpose of the transfer of securities from BO account held in NSDL system to account held in other depository.	
Reason Code	Reasons / purpose to be selected	Reason Code	Reasons / purpose to be selected
1	Off-market Sale	1	Off-market Sale
2	Margin to stock broker / PCM	2	Margin to stock broker / PCM
3	Margin returned by stock broker / PCM	3	Margin returned by stock broker / PCM
4	Transfer to Own account	4	Transfer to Own account
6	Transfers to PMS account	6	Transfers to PMS account
7	Transfers from PMS account	7	Transfers from PMS account
14	Transmission	9	Account Closure
12	Buy-back	10	On-market Sale (Transfer towards Pay-in)
13	Open offer for Acquisition	14	Transmission
91	Payout - On payment for unpaid securities	12	Buy-back
92	Gift	13	Open offer for Acquisition
93	Donation	91	Payout - On payment for unpaid securities
94	Refund of securities by IEPF Authority	92	Gift
95	ESOP/Transfer to employee	93	Donation
96	Implementation of Govt./Regulatory Direction/Orders	94	Refund of securities by IEPF Authority
97	Erroneous Transfer pertaining to Client Securities	95	ESOP/Transfer to employee
98	Meeting Legitimate Dues of Stock Broker	96	Implementation of Govt./Regulatory Direction/Orders
		97	Erroneous Transfer pertaining to Client Securities
		98	Meeting Legitimate Dues of Stock Broker